



AUTHORSHIP & RESEARCH SECURITY RISK AWARENESS

If it appears in a manuscript, it may require disclosure elsewhere

Authorship decisions can create research security and disclosure obligations—even when they don't seem connected to funding or foreign relationships. Journals, sponsors, and institutions increasingly expect alignment across manuscripts, affiliations, and sponsor disclosures. Addressing these considerations early helps prevent delays, inquiries, and audit risk.

	WHAT IT INCLUDES	RISK SIGNAL	WHAT TO DO
 AFFILIATIONS	• Paid positions (primary or secondary) • Unpaid/honorary roles (visiting scholar, adjunct, affiliate) • Visiting appointments (domestic or foreign) • Formal or informal foreign relationships	Affiliation appears in manuscript, CV, or webpage but not disclosed elsewhere	Review and align across NIH, NSF, and institutional systems
 FOREIGN RELATIONSHIPS	• Foreign institutional appointments (formal or informal) • Foreign-funded personnel or collaborators • Participation in foreign programs	Foreign involvement not previously disclosed	Flag for review; assess need for disclosure or mitigation
 IN-KIND SUPPORT	• Lab space • Equipment • Personnel or students • Data or dataset	External resources used but not disclosed	Report as in-kind or other support where required
 DATA ACCESS	• External datasets • Foreign-provided data/resources • Access to materials outside institution	Data source not reflected in disclosures	Verify data use and sharing disclosures; align with sponsor requirements
 EXTERNAL COLLABORATION Including Foreign External Professional Roles	• International collaborators acknowledged in manuscripts • Coauthors with foreign affiliations	Collaboration visible in publication but missing in disclosures	Confirm all collaborations are properly disclosed
 DISCLOSURE ALIGNMENT	• Affiliations listed in manuscripts • Author contribution statements • Coauthor institutional ties	Mismatch between manuscript and sponsor disclosures	Ensure consistency across manuscripts, sponsor filings, and institutional disclosures

COMMON MISMATCH SCENARIOS	WHAT HAPPENS IF DISCLOSURES DON'T ALIGN				
Foreign visiting appointment listed on a paper but not in NIH Other Support	Misalignment does not automatically mean noncompliance — but it can lead to:				
In-kind support (space, personnel, datasets) used but not disclosed	<table><tr><td>Manuscript submission or publication delays</td><td>Requests for clarification from journals or sponsors</td></tr><tr><td>Post-award sponsor inquiries</td><td>Increased audit or compliance review risk</td></tr></table>	Manuscript submission or publication delays	Requests for clarification from journals or sponsors	Post-award sponsor inquiries	Increased audit or compliance review risk
Manuscript submission or publication delays	Requests for clarification from journals or sponsors				
Post-award sponsor inquiries	Increased audit or compliance review risk				
International collaborators acknowledged in publications but absent from sponsor filings					

KEY TAKEAWAYS		
 Early identification = lower risk + smoother publication process	 Review for consistency across journals, sponsors, and institutional systems	 Most issues are resolved smoothly when identified early