



# Policy Brief

4/1/2024

## 7.8 Policy on Research Misconduct

**Responsible Department:** Provost & Executive Vice President for Academic Affairs

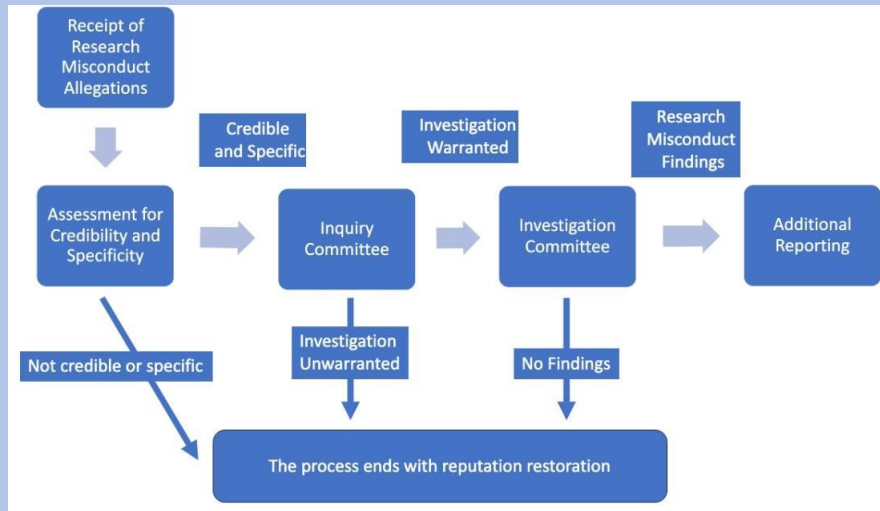
### Scope:

- **Summary**

This Policy sets forth the requirements and procedures that should be followed in reporting, assessing, inquiring about, and investigating Allegations of Research Misconduct.

- **Research Misconduct Management Process:**

The Policy research misconduct management process follows the requirements from the federal regulations. In the graphic below you will see a summary of the process. Please note that we work with the Administrative Official (AO) from the schools to create committees to review allegations during the Inquiry and Investigation processes. The RIO keeps this process confidential as much as possible.



- **Applicability**

The policy applies to all Institutional Workforce Members.

### How to Comply with the Policy

Institutional Workforce Members who learn about a potential research misconduct allegation must report their concerns to the Research Integrity Officer (RIO) at [rio@emory.edu](mailto:rio@emory.edu). In addition, the individual(s) (Complainant) bringing forward an allegation of research misconduct (allegation) should provide good-faith reports, keeping the matter confidential. The individual(s) responding to an allegation of research misconduct (Respondent) must collaborate with the RIO during the allegation review process.



## Noncompliance with the Policy

Institutional Workforce Members must adhere to this policy and report any allegation of research misconduct to the RIO at [rio@emory.edu](mailto:rio@emory.edu).

After a committee established by the school's AO determines that research misconduct has taken place, Emory University leadership and as appropriate, in consultation with appropriate governmental agencies, reserves the right to take any administrative actions or sanctions necessary to:

- Protect the health and safety of research subjects.
- Protect the funds or resources of sponsors.
- Protect the University's reputation and/or academic integrity.
- Protect the integrity of the research process.
- Comply with any applicable governmental laws, regulations, or policies, or
- Comply with any applicable Emory University policies or contractual obligations.

These actions may include but are not limited to:

- Additional monitoring of the Research Process and the handling of funds and equipment;
- Reassignment of personnel or the responsibility for managing funding/resources;
- Additional review of Research data and results;
- Withdrawal of pending abstracts; manuscripts, publications, and grant applications; and
- Suspension of a Respondent (provided that suspension before completing an Investigation shall be without interruption of salary or benefits).