



Policy Brief

Last Revised: 8/27/2024

7.11 Export Control Policy

Administering Department: Research Compliance & Regulatory Affairs

Scope:

Summary

This Export Control policy establishes the basis for procedures and processes necessary to ensure Emory's compliance with export control and trade sanctions regulations while maintaining a research and academic environment that fosters collaboration.

Applicability

This Policy applies to all Emory investigators, faculty, staff, visiting scientists, postdoctoral fellows, students, scholars, and any other person working at or for Emory University

How to Comply with the Policy

To reduce individual and institutional risk of non-compliance with U.S. export control and trade sanctions regulations, Emory has established an Export Control Compliance Program under the direction of the Export Control Office in the Department of Research Compliance and Regulatory Affairs ("RCRA").

In partnership with operational and functional units across the University, the Export Control Office maintains the following responsibilities:

- Developing and implementing procedures, guidelines, and tools for compliance
- Providing Training
- Serving as a resource for departments, faculty, and staff when collaborating on or performing international activities

The full policy outlines the Export Control Program in more detail as well as procedures and guidelines for Restricted Party Screening, Research Projects Review, International Visitors, Non-immigrant employees, International Travel, International Shipping, and international activities as it pertains to research.

Noncompliance with the Policy

Failure to comply with this policy and/or any of the federal rules and regulations affecting research international collaborations and exchanges will result in disciplinary actions in accordance with relevant Emory HR policies up to and including termination of employment or dismissal from academic programs. Additionally, violations of federal rules and regulations may result in sanctions, fines, and/or referral for prosecution by law enforcement officials.