Community Forum

MARCH 3, 2022 AT 11:30 AM

Learn what is the latest in Research Compliance and have a chance to interact with our Research Compliance Experts!
Session Agenda

1. Know the Audience
2. Know the Audience
3. Meet your RCRA Team
4. Regulatory Update
5. RCRA Office Spotlight
6. Q&A
Know the Audience

POLL
Research Compliance Program Goals

- Enhanced Partnerships
- Strategic Collaborations
- Proactive Risk Identification & Management
- Optimized Research Compliance Program
Meet your RCRA Team

Research Integrity
Research Security
Export Controls
IACUC
COI/COC
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Conflict of Interest and Conflict of Commitment Office

Who we are

- Ensure research objectivity by (1) providing oversight, systemic safeguards, and regulatory expertise to manage or eliminate financial conflicts of interest in research and (2) implementing new conflict of commitment requirements

What we do

- Review financial disclosures to identify real or perceived financial conflicts of interest (FCOI) in research
- Convene Emory’s Conflict of Interest Committee to determine if and how FCOIs can be mitigated
- Issue and enforce FCOI management plans to ensure risk mitigation is achieved
- Educate research community on rules and regulations (e.g., Emory Policy 7.7 for Investigators Holding Financial Interests in Research)
- Collaborate with academic units and research administration to obtain required disclosures
- Track evolving regulatory landscape to comply with new rules and implement new processes as required
- Currently developing new e-disclosure system to increase efficiency, decrease administrative burden, improve user experience

Contact us

COI-Office@listserv.cc.emory.edu
Export Controls

The Export Control Office (“ECO”) has oversight for the development, implementation, monitoring, and coordination of processes and procedures to comply with U.S. export control regulations and trade-related sanctions.

These regulations impact a wide range of research and academic-related activities including sponsored research, engaging in international collaborations, international travel, international shipping and hosting international visiting scholars.

Export Control Office contact information
   Email exportcontrol@emory.edu
   Director Rose Ndegwa rose.ndegwa@emory.edu
   Asst. Director Hershel Tamboli hershel.tamboli@emory.edu
The Emory University Institutional Care and Use Committee (IACUC) provides oversight over the humane and ethical animal care and use of animals in research.

The IACUC Office supports the Committee in fulfilling its functions according to the Public Health Service (PHS) policy on Humane Care and Use of Laboratory Animals and the US Department of Agriculture (USDA) Animal Welfare Act. The IACUC Office also ensures maintenance of accreditations (AAALAC) and assurances (PHS).

Contact Information
Interim Director: Esmeralda Meyer (evargas@emory.edu)
Operations Manager: Guy Wiles (wwiles@emory.edu)
Research Integrity: Daiana Weiss (dweiss2@emory.edu)
General questions: iacuc@emory.edu
Research Integrity

The Office of Research Integrity and Compliance (ORIC) assist the Emory Community and ORA staff to promote research compliance with Emory policies and Federal Regulations.

ORIC Team
Contact us for any Research Compliance Concerns!
Margaret Huber, RN, BSN, CHRC, Compliance Manager and Research Compliance Specialist,
• FDA-regulated studies
• Controlled substances (human subjects’ research)
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• Research Misconduct
• Controlled substances (animal research)
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Research Security Regulatory Update

March 3, 2022

Deepika Bhatia MSBME, CCRP, CHRC, CHPC, CCEP
Associate Vice President, Research Compliance & Regulatory Affairs
Chief Research Security Officer
NSPM-33 released in January 2022. Requires federal funding agencies to expand disclosure requirements of grantees, requires grantee to operate research security programs, and mandates agencies to implement other research security protections.

Risk to Emory’s research enterprise stems from individual researchers violating research security regulations as well as Emory failing to comply with institutional research security regulations.
NSPM-33 Requirements

Disclosure Requirements and Standardization

Digital Persistent Identifiers (DPIs)

Consequences for Violation of Disclosure Requirements

Information Sharing

Research Security Programs
Mandatory Disclosures

• Covered Individuals
• Foreign Travel
• Foreign Contracts

Research Security Program

• Cybersecurity
• Foreign Travel Tracking
• Research Security & Export Control Training
• Institutional Compliance Certification
Research Security Program @ Emory

**Policies**
- Conflict of Commitment
- Visiting Scholar
- Foreign Travel & Export Control
- Research Data Protection

**Disclosures**
- New electronic disclosure system implementation in 2022
- Streamlines COC & COI disclosures
- Research & non research COI/COC disclosure management

**Security**
- Loaner Laptop Program
- Laboratory Physical Security
- Global Collaborations

**Training**
- Broad communications
- Targeted communications
- RAS Trained to respond to faculty inquires
- 1-1 support for faculty