



## Community Forum

MARCH 3, 2022 AT 11:30 AM

# ASK RCRA

Learn what is the latest in Research Compliance and  
have a chance to interact with our Research  
Compliance Experts!



# Session Agenda

**Forum Goals**



1

**2 Know the Audience**



**Meet your RCRA  
Team**



3

**Regulatory Update**



**RCRA Office Spotlight**



5

**Q&A**



6



# **Know the Audience**

## **POLL**



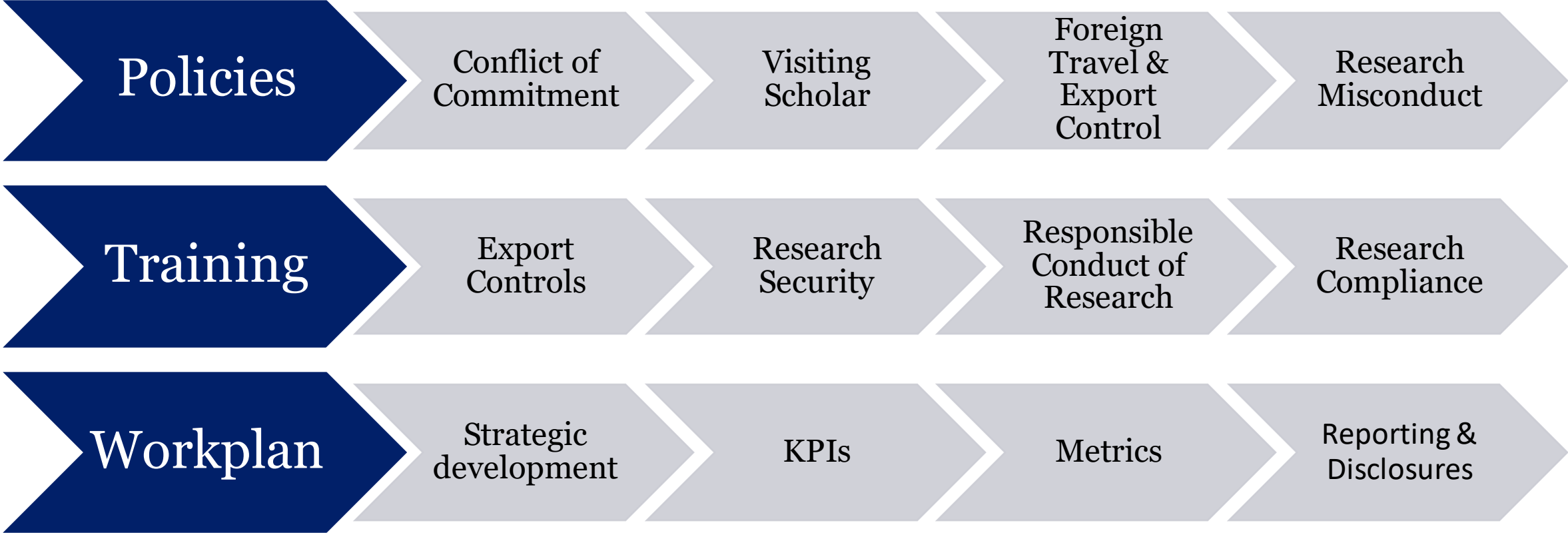
# Research Compliance Program Goals



# Meet your RCRA Team



# RCRA PRIORITIES & INITIATIVES



# Conflict of Interest and Conflict of Commitment Office

## Who we are

- Ensure research objectivity by (1) providing oversight, systemic safeguards, and regulatory expertise to manage or eliminate financial conflicts of interest in research and (2) implementing new conflict of commitment requirements

## What we do

- Review financial disclosures to identify real or perceived financial conflicts of interest (FCOI) in research
- Convene Emory's Conflict of Interest Committee to determine if and how FCOIs can be mitigated
- Issue and enforce FCOI management plans to ensure risk mitigation is achieved
- Educate research community on rules and regulations (e.g., Emory Policy 7.7 for Investigators Holding Financial Interests in Research)
- Collaborate with academic units and research administration to obtain required disclosures
- Track evolving regulatory landscape to comply with new rules and implement new processes as required
- **Currently developing new e-disclosure system to increase efficiency, decrease administrative burden, improve user experience**

## Contact us

[COI-Office@listserv.cc.emory.edu](mailto:COI-Office@listserv.cc.emory.edu)





# Export Controls

The Export Control Office (“ECO”) has oversight for the development, implementation, monitoring, and coordination of processes and procedures to comply with U.S. export control regulations and trade-related sanctions.

These regulations impact a wide range of research and academic-related activities including sponsored research, engaging in international collaborations, international travel, international shipping and hosting international visiting scholars.

## Export Control Office contact information

Email [exportcontrol@emory.edu](mailto:exportcontrol@emory.edu)

Director Rose Ndegwa [rose.ndegwa@emory.edu](mailto:rose.ndegwa@emory.edu)

Asst. Director Hershel Tamboli [hershel.tamboli@emory.edu](mailto:hershel.tamboli@emory.edu)





# IACUC

The Emory University Institutional Care and Use Committee (IACUC) provides oversight over the humane and ethical animal care and use of animals in research.

The IACUC Office supports the Committee in fulfilling its functions according to the Public Health Service (PHS) policy on Humane Care and Use of Laboratory Animals and the US Department of Agriculture (USDA) Animal Welfare Act. The IACUC Office also ensures maintenance of accreditations (AAALAC) and assurances (PHS).

## **Contact Information**

Interim Director: Esmeralda Meyer ([evargas@emory.edu](mailto:evargas@emory.edu))

Operations Manager: Guy Wiles ([wwiles@emory.edu](mailto:wwiles@emory.edu))

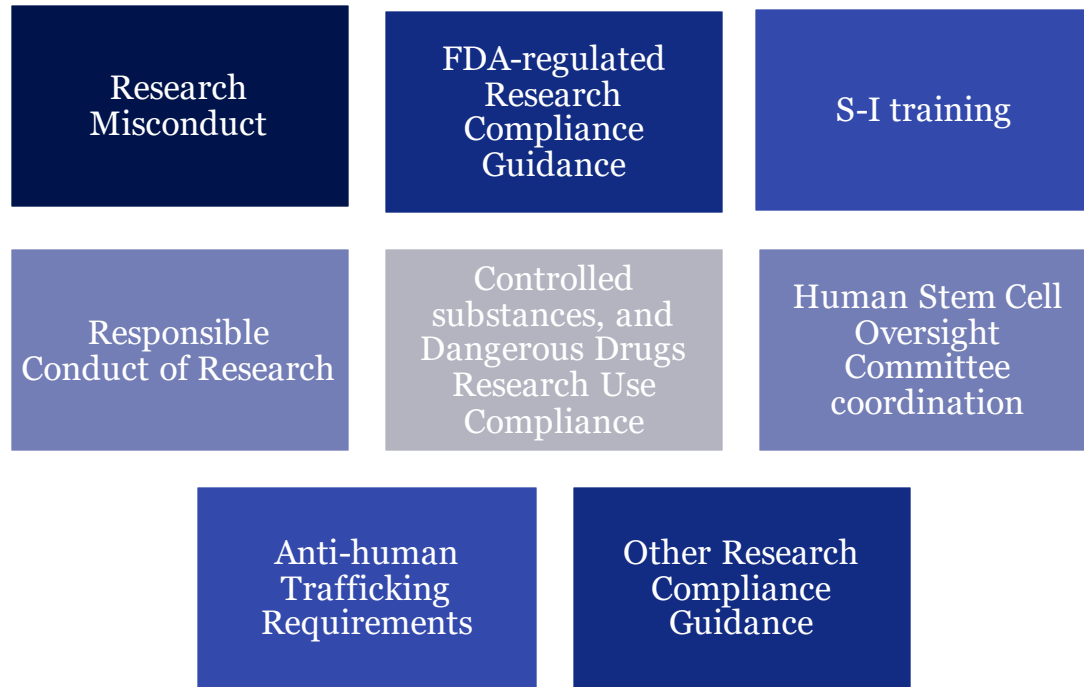
Research Integrity: Daiana Weiss ([dweiss2@emory.edu](mailto:dweiss2@emory.edu))

General questions: [iacuc@emory.edu](mailto:iacuc@emory.edu)



# Research Integrity

**The Office of Research Integrity and Compliance (ORIC)** assist the Emory Community and ORA staff to promote research compliance with Emory policies and Federal Regulations.



## ORIC Team

*Contact us for any Research Compliance Concerns!*

**Margaret Huber**, RN, BSN, CHRC, Compliance Manager and Research Compliance Specialist,

- FDA-regulated studies
- Controlled substances (human subjects' research)
- Email: [mhuber@emory.edu](mailto:mhuber@emory.edu)

**Julian Hinds**, JD, CHRC Compliance Officer.

- Research Misconduct
- Controlled substances (animal research)
- Email: [julian.a.hinds@emory.edu](mailto:julian.a.hinds@emory.edu)

**Maria Davila**, MD, MA, CCRC, CIP. Director and Deputy Research Integrity Officer.

- Email: [maria.davila@emory.edu](mailto:maria.davila@emory.edu)

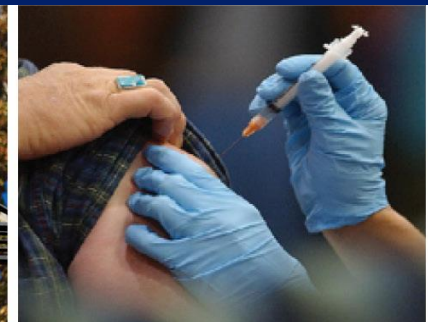
Office mailbox: [oric@emory.edu](mailto:oric@emory.edu) | Phone: 404-712-8677



# Research Security Regulatory Update

March 3, 2022

Deepika Bhatia MSBME, CCRP,CHRC,CHPC,CCEP  
Associate Vice President, Research Compliance & Regulatory Affairs  
Chief Research Security Officer



# Regulatory Update – NSPM-33



NSPM-33 released in January 2022. Requires federal funding agencies to expand disclosure requirements of grantees, requires grantee to operate research security programs, and mandates agencies to implement other research security protections



Risk to Emory's research enterprise stems from individual researchers violating research security regulations as well as Emory failing to comply with institutional research security regulations



# NSPM-33 Requirements



Disclosure  
Requirements and  
Standardization



Digital Persistent  
Identifiers (DPIs)



Consequences for  
Violation of  
Disclosure  
Requirements



Information  
Sharing



Research Security  
Programs



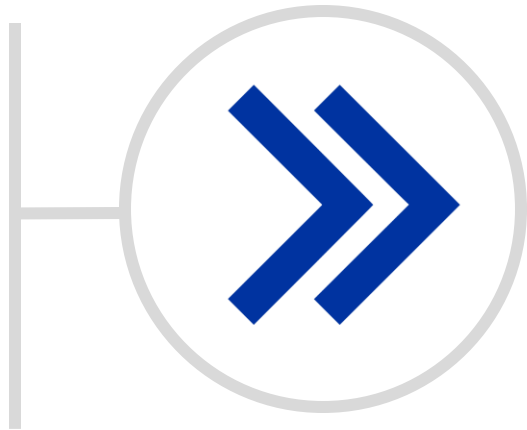
# Mandatory Disclosures

- Covered Individuals
- Foreign Travel
- Foreign Contracts



## Research Security Program

- Cybersecurity
- Foreign Travel Tracking
- Research Security & Export Control Training
- Institutional Compliance Certification



# Research Security Program @ Emory

## Policies

- Conflict of Commitment
- Visiting Scholar
- Foreign Travel & Export Control
- Research Data Protection

## Disclosures

- New electronic disclosure system implementation in 2022
- Streamlines COC & COI disclosures
- Research & non research COI/COC disclosure management

## Security

- Loaner Laptop Program
- Laboratory Physical Security
- Global Collaborations

## Training

- Broad communications
- Targeted communications
- RAS Trained to respond to faculty inquires
- 1-1 support for faculty

