Research Policy Update

Research Compliance and Regulatory Affairs
Research Policy Process Updates

- Comprehensive catalog of Research and Research Affiliated Policies in Employee and Faculty Handbook
- Standard Operating Procedure for Research Policy Development, Review, and Approval
- Research Policy Review and Approval Workflow

- Gap analysis
- Timely revision/new policy
- Appropriate communication, awareness, training of new/revised policy
- Thorough review/approval of policy by relevant stakeholders

Research Compliance and Regulatory Affairs
Currently Under Review

- Visiting Scholars and Students (new)
- Export Control (new)
- International Travel (new)
- 7.8 Research Misconduct
- 7.27 Sponsored Award Overrun
- 7.35 Small Balance Write-off
- 7.36 Residual Balance Transfer
- 7.37 Sponsored Award Close Out
- 7.39 Allocation Methodology for Sponsored Programs
- Cost Principles for Federal and Non-Federal Sponsored Programs

Revisions in Progress

- Research Data Protection (new)
- International Travel Embryonic Stem Cell Research Oversight (new)
- Subject Injury (new)
- Uninsured Patients (new)
- 7.9 Responsible Conduct of Scholarship and Research
- 7.25 Research Use of Controlled Substances and 7.29 Research Use of Dangerous Drugs
- 7.7 Investigators Holding a Financial Interest in Research
- 7.24 Institutional Financial Interests in Research
- 7.30 Authorship Guidelines and Dispute Resolution
- 7.21 Minors in Laboratories
## Minors Participating in Research Activities

- Volunteers are not permitted in Emory research laboratories.
- EHSO’s former Volunteers and Minors Registration Forms are no longer accepted.
- Requirements for Joining an Emory SOM Lab (see excerpt from "Students in SOM Labs/Research | Emory School of Medicine")
- 7.21 Minors in Laboratories policy under revision

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<thead>
<tr>
<th>Minors</th>
<th>Formal Research Programs with Minors</th>
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<tbody>
<tr>
<td>• Must be at least 16 years old and be hired through Emory Human Resources or Emory Temporary Services. No volunteers are permitted in SOM Labs.</td>
<td>• Programs involving minors should be disclosed to:</td>
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<tr>
<td>• Additionally, for minors involved in any research activities (human, animal, basic science) including shadowing other research personnel in lab areas or otherwise, please reach out to the Office of Research Compliance &amp; Regulatory Affairs (<a href="mailto:researchcompliance@emory.edu">researchcompliance@emory.edu</a>) to ensure appropriate trainings and research safety oversight measures are in place.</td>
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<td>• The Department is responsible for tracking and maintaining all training and oversight documentation.</td>
<td>• Office of Ethics and Compliance (<a href="mailto:compliance@emory.edu">compliance@emory.edu</a>)</td>
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<td>• All programs should be in compliance with background checks, training, and other requirements at <a href="https://conferences.emory.edu/planning/policy.html">https://conferences.emory.edu/planning/policy.html</a>.</td>
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<td>• All programs should be in compliance with Emory policy: <a href="https://emory.ellucid.com/documents/view/17542?security=dd8a6d5ebc276d83921914d541cbb12c3dce2cd">https://emory.ellucid.com/documents/view/17542?security=dd8a6d5ebc276d83921914d541cbb12c3dce2cd</a></td>
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<td>• The Department is responsible for the approval of programs as well as the tracking and maintaining all training and oversight documentation.</td>
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