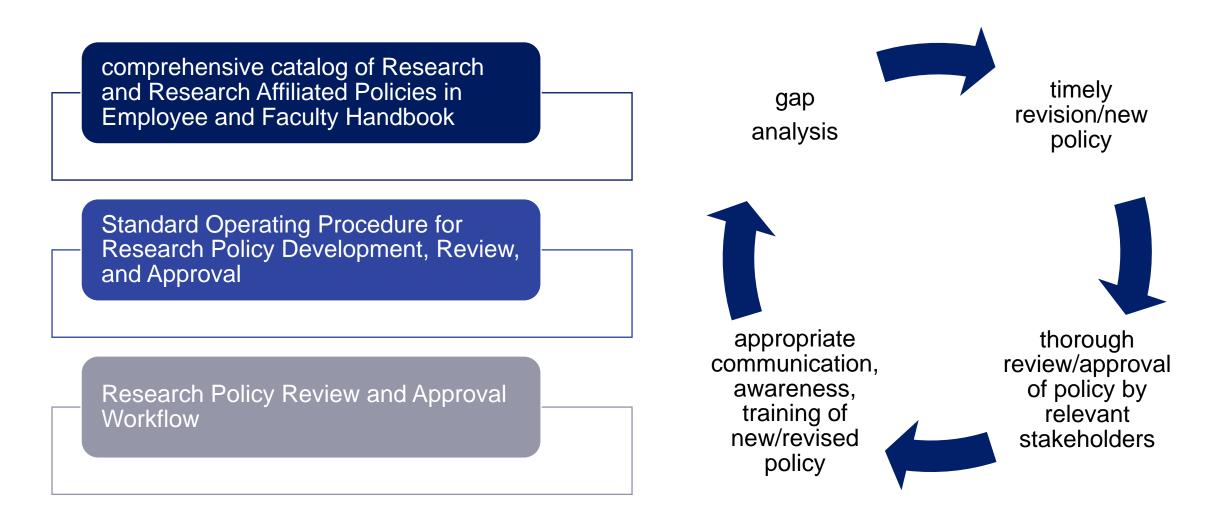
Research Policy Update

Research Compliance and Regulatory Affairs

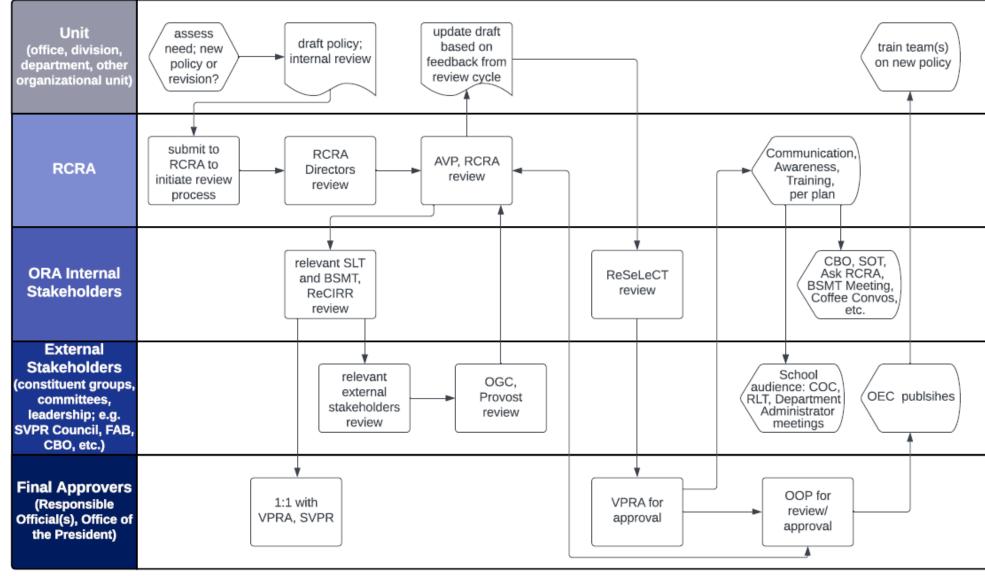


Research Policy Process Updates





Research Policy Review and Approval Workflow



- ORA: Office of Research Administration
 RCRA: Research Compliance & Regulatory Affairs
 - AVP: Associate Vice President
- SLT: Senior Leadership Team
- BSMT: Broader Senior Management Team
- ReCIRR: Research Compliance Investigations, Reporting, & Regulatory Updates
- **VPRA**: Vice President for Research Administration
- SVPR: Senior Vice President for Research
- > OGC Office of General Counsel
- ReSeLeCT: Research Senior Leadership Compliance Team
- > **OOP**: Office of the President
- **OEC**: Office of Ethics & Compliance
- **CBO**: Chief Business Officer
- **SOT**: Strategic Operations Team
- COC: Council of Chairs

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- **RLT**: Research Leadership Team
- FAB: Faculty Advisory Board



Research Compliance and Regulatory Affairs

Currently Under Review

- Visiting Scholars and Students (new)
- Export Control (new)
- International Travel (new)
- 7.8 Research Misconduct
- 7.27 Sponsored Award Overrun
- 7.35 Small Balance Write-off
- 7.36 Residual Balance Transfer
- 7.37 Sponsored Award Close Out
- 7.39 Allocation Methodology for Sponsored Programs
- Cost Principles for Federal and Non-Federal Sponsored Programs

Revisions in Progress

- Research Data Protection (new)
- International Travel Embryonic Stem Cell Research Oversight (new)
- Subject Injury (new)
- Uninsured Patients (new)
- 7.9 Responsible Conduct of Scholarship and Research
- 7.25 Research Use of Controlled Substances and 7.29 Research Use of Dangerous Drugs
- 7.7 Investigators Holding a Financial Interest in Research
- 7.24 Institutional Financial Interests in Research
- 7.30 Authorship Guidelines and Dispute Resolution
- 7.21 Minors in Laboratories



Minors Participating in Research Activities

Minors

Formal Research

Programs with Minors

- Volunteers are not permitted in Emory research laboratories.
- EHSO's former Volunteers and Minors Registration Forms are no longer accepted.
- Requirements for Joining an Emory SOM Lab (see excerpt from <u>Students in SOM</u> <u>Labs/Research | Emory School of Medicine</u>)
- 7.21 Minors in Laboratories policy under revision

- Must be at least 16 years old and be hired through Emory Human Resources or Emory Temporary Services. No volunteers are permitted in SOM Labs.
- Additionally, for minors involved in any research activities (human, animal, basic science) including shadowing other research personnel in lab areas or otherwise, please reach out to the Office of Research Compliance & Regulatory Affairs (researchcompliance@emory.edu) to ensure appropriate trainings and

research safety oversight measures are in place.

- The Department is responsible for tracking and maintaining all training and oversight documentation.
- Programs involving minors should be disclosed to:
 - Office of Ethics and Compliance (compliance@emory.edu)
 - Office of Research Compliance & Regulatory Affairs (researchcompliance@emory.edu)
- All programs should be in compliance with background checks, training, and other requirements
- at https://conferences.emory.edu/planning/policy.html.
- All programs should be in compliance with Emory policy: <u>https://emory.ellucid.com/documents/view/17542?</u> security=dd8a64d5ebc276483921914d541cbb12c3dce2cd
- The Department is responsible for the approval of programs as well as the tracking and maintaining all training and oversight documentation.



Research Compliance and Regulatory Affairs