

Emory University Office of Ethics and Compliance February 2025





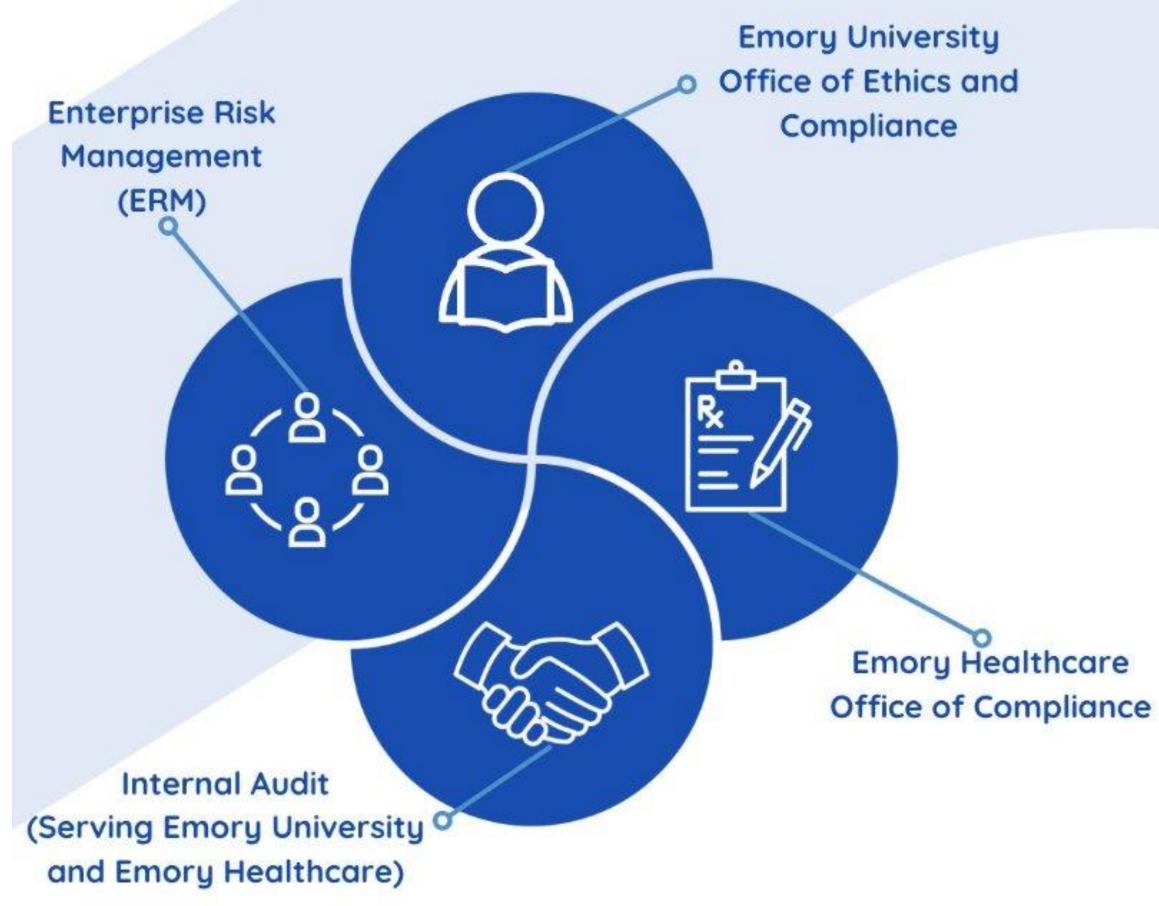
EMORY UNIVERSITY CHIEF COMPLIANCE OFFICER



- Joined Emory University September 1, 2024
- 14 years Internal Audit, Risk, and Compliance for Georgia Tech
- **Compliance and Research Investigations**
- 8 years service Association of College and University Auditors (ACUA) Board of Directors Former President

Melissa B. Hall, CPA, CFE, CCEP

EMORY RISK INTELLIGENT ORGANIZATION



CHARACTERISTICS OF A RISK INTELLIGENT ORGANIZATION:

Culture

Integration

Proactive

Assessment and Prioritization

Robust Controls and Mitigation

Communication and Reporting

Continuous Monitoring and Evaluation

EMORY UNIVERSITY OFFICE OF ETHICS AND COMPLIANCE

Assistant Vice President, Ethics and Compliance

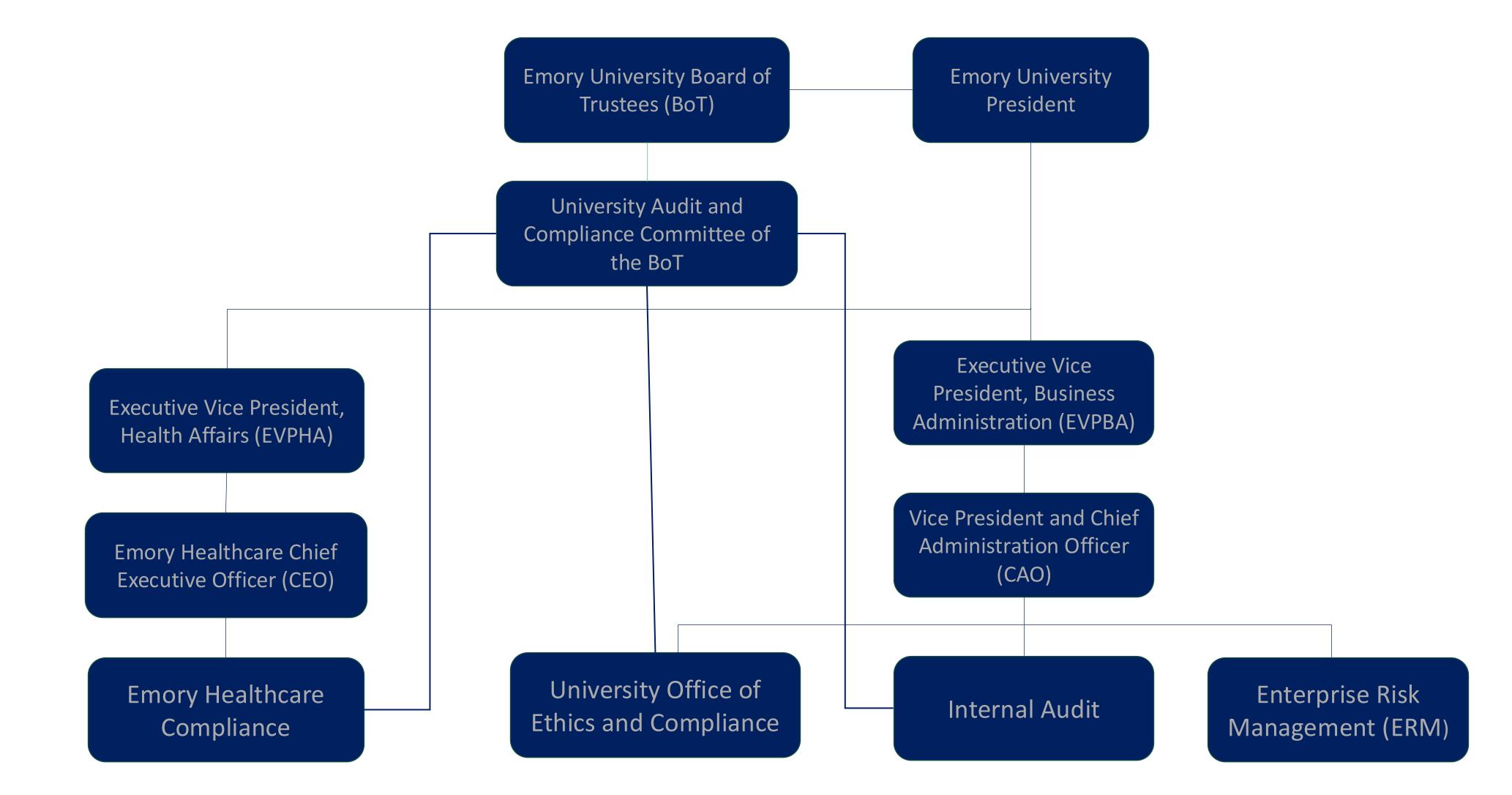
Senior Compliance Specialist Senior Compliance Specialist

Chief Privacy Officer

Director, Ethics and Compliance Programs

Director, Clery Act Compliance

RISK, INTERNAL AUDIT AND COMPLIANCE



DOJ's 7 ELEMENTS OF EFFECTIVE COMPLIANCE PROGRAM



EFFECTIVE TRAINING AND EDUCATION



DESIGNATE COMPLIANCE OFFICER AND COMMITTEE

1

EFFECTIVE LINES OF COMMUNICATION





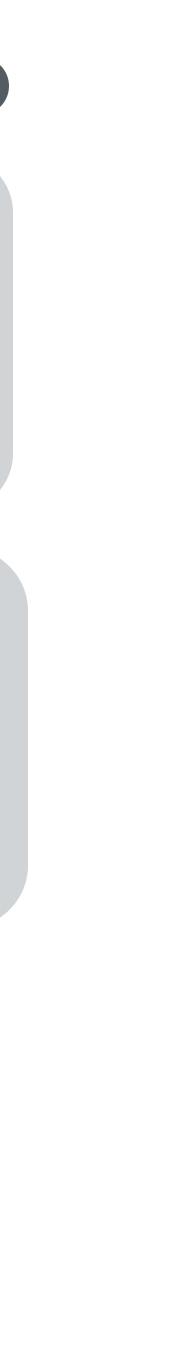


DISCIPLINARY GUIDELINES FOR ENFORCEMENT

RESPONSE TO ISSUES AND CORRECTIVE ACTION



5



Office of Ethics and Compliance

Ethics Programming

Assessments and Investigations

Policy Governance



Areas of Focus and Initiatives

